

# Numis Corporation PLC

20 December 2021

TR-1: Standard form for notification of major holdings

## 1. Issuer Details

### ISIN

GB00B05M6465

### Issuer Name

NUMIS CORPORATION PLC

### UK or Non-UK Issuer

UK

## 2. Reason for Notification

An acquisition or disposal of voting rights

## 3. Details of person subject to the notification obligation

### Name

Kayne Anderson Rudnick Investment Management, LLC

### City of registered office (if applicable)

Los Angeles

### Country of registered office (if applicable)

United States

## 4. Details of the shareholder

### Full name of shareholder(s) if different from the person(s) subject to the notification obligation, above

### City of registered office (if applicable)

### Country of registered office (if applicable)

## 5. Date on which the threshold was crossed or reached

16-Dec-2021

## 6. Date on which Issuer notified

17-Dec-2021

## 7. Total positions of person(s) subject to the notification obligation

	% of voting rights attached to shares (total of 8.A)	% of voting rights through financial instruments (total of 8.B 1 + 8.B 2)	Total of both in % (8.A + 8.B)	Total number of voting rights held in issuer
Resulting situation on the date on which threshold was crossed or reached	3.600000	0.000000	3.600000	4110438
Position of previous notification (if applicable)	4.960000	0.000000	4.960000	5,669,281

## 8. Notified details of the resulting situation on the date on which the threshold was crossed or reached

### 8A. Voting rights attached to shares

Class/Type of shares ISIN code(if possible)	Number of direct voting rights (DTR5.1)	Number of indirect voting rights (DTR5.2.1)	% of direct voting rights (DTR5.1)	% of indirect voting rights (DTR5.2.1)
GB00B05M6465	4110438	0	3.600000	0.000000
Sub Total 8.A	4110438		3.600000%	

### 8B1. Financial Instruments according to (DTR5.3.1R.(1) (a))

Type of financial instrument	Expiration date	Exercise/conversion period	Number of voting rights that may be acquired if the instrument is exercised/converted	% of voting rights
Sub Total 8.B1				

### 8B2. Financial Instruments with similar economic effect according to (DTR5.3.1R.(1) (b))

Type of financial instrument	Expiration date	Exercise/conversion period	Physical or cash settlement	Number of voting rights	% of voting rights
Sub Total 8.B2					

## 9. Information in relation to the person subject to the notification obligation

2. Full chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held starting with the ultimate controlling natural person or legal entities (please add additional rows as necessary)

Ultimate controlling person	Name of controlled undertaking	% of voting rights if it equals or is higher than the notifiable threshold	% of voting rights through financial instruments if it equals or is higher than the notifiable threshold	Total of both if it equals or is higher than the notifiable threshold
Virtus Investment Partners, Inc	Virtus Investment Partners, Inc	0.000000	0.000000	0.000000%
Kayne Anderson Rudnick Investment Management, LLC	Kayne Anderson Rudnick Investment Management, LLC	4.960000	0.000000	4.960000%

### 10. In case of proxy voting

#### Name of the proxy holder

#### The number and % of voting rights held

#### The date until which the voting rights will be held

### 11. Additional Information

### 12. Date of Completion

### 13. Place Of Completion

Los Angeles, CA, USA

The total number of Numis shares held in Treasury is **10,701,088** (9.36%), the number of ordinary shares is 125,038,536 and the total number of voting rights in the company is **114,337,448** which is the number which may be used by the shareholders as the denominator for calculations by which they will determine if they are required to notify their interest in, or a change to their interests in, the Company under the FCA's Disclosure and Transparency Rules.

These details have been provided in accordance with Article 5(1)(b) of the Market Abuse Regulation (EU) No 596/2014.

20 December 2021

END

**Contacts**

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