

TUESDAY 22 JANUARY 2019

Numis Corporation Plc (“Numis”)

Directors’ Dealing

The Board of Numis announces that, further to the grant of share awards to Directors and employees announced on 20 January 2016, following the vesting of award shares under the Numis Corporation Plc Restricted Stock Unit Plan 2008 (“RSU Plan”) on 21 January 2019, Alex Ham and Ross Mitchinson (the Co-CEOs of Numis), through the mechanism of the RSU Plan, sold part of their award shares to fund tax liabilities arising from the vesting

Co-CEO Directors’ deemed interest in Numis shares:-

Name of Director	Award Shares Vested	Award Shares sold	Award shares Acquired	Total Numis shares now held	% of Numis shares in issue
Alex Ham	26,252	12,339	13,913	608,741	0.57%
Ross Mitchinson	10,143	4,768	5,375	298,790	0.28%

No consideration was paid to the Company for the grant of the award and no consideration has been paid on the vesting of the awards.

The Numis shares referred to above are Numis’ ordinary shares of 5p each and the total number of shares in issue is **107,227,448** (excluding shares held in treasury) with each ordinary share carrying the right to one vote.

The total number of shares held in treasury is **11,211,088 (10.46%)**.

The total number of voting rights in Numis is **107,227,448**.

These details have been provided in accordance with Article 5(1)(b) of the Market Abuse Regulation (EU) No 596/2014.

NOTIFICATION AND PUBLIC DISCLOSURE OF TRANSACTIONS BY PERSONS DISCHARGING MANAGERIAL RESPONSIBILITIES AND PERSONS CLOSELY ASSOCIATED WITH THEM

1	Details of the person discharging managerial responsibility / person closely associated	
a)	Name	Alex Ham

2	Reason for the notification	
a)	Position/status	PDMR (Executive Director)
b)	Initial notification/amendment	Initial notification
3	Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor	
a)	Name	Numis Corporation Plc

b)	LEI	213800AREEDZZCNBHD25
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4	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted	
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a)	Description of the financial instrument, type of instrument	Numis Ordinary Shares	
	Identification code	Ordinary share ISIN : GB00B05M6465	
b)	Nature of transaction	<ol style="list-style-type: none"> 1. Vesting of ordinary shares under the Numis Corporation Plc Restricted Stock Unit Plan 2008 ("RSU Plan") 2. Sale of part of vested award shares under the RSU Plan to fund tax liabilities arising 	
c)	Price(s) and volume(s)	Price(s)	Volume(s)
		1. Nil	1. 26,252
		2. £2.405	2. 12,339
d)	Aggregated information		
	Aggregated volume	N/A	

	Price	N/A
e)	Date of transaction	21 January 2019
f)	Place of transaction	UK, London Stock Exchange

1	Details of the person discharging managerial responsibility / person closely associated	
a)	Name	Ross Mitchinson
2	Reason for the notification	
a)	Position/status	PDMR (Executive Director)
b)	Initial notification/amendment	Initial notification
3	Details of the issuer, emission allowance market participant, auction platform, auctioneer or auction monitor	
a)	Name	Numis Corporation Plc

b)	LEI	213800AREEDZZCNBHD25
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4	Details of the transaction(s): section to be repeated for (i) each type of instrument; (ii) each type of transaction; (iii) each date; and (iv) each place where transactions have been conducted	
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a)	Description of the financial instrument, type of instrument	Numis Ordinary Shares
	Identification code	Ordinary share ISIN : GB00B05M6465
b)	Nature of transaction	<p>1. Vesting of ordinary shares under the Numis Corporation Plc Restricted Stock Unit Plan 2008 ("RSU Plan")</p> <p>2. Sale of part of vested award shares under the RSU Plan to fund tax liabilities arising</p>

c)	Price(s) and volume(s)	Price(s)	Volume(s)
		1. Nil	1 10,143
		2 £2.405	2. 4,768
d)	Aggregated information		
	Aggregated volume	N/A	
	Price	N/A	
e)	Date of transaction	21 January 2019	
f)	Place of transaction	UK, London Stock Exchange	

This announcement contains inside information for the purposes of Article 7 of Regulation (EU) No 596/2014.

22 January 2019

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Contacts

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